



ALAMEDA COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT, ZONE 7

100 NORTH CANYONS PARKWAY, LIVERMORE, CA 94551 • PHONE (925) 454-5000 • FAX (925) 454-5727

November 01, 2017

SEC Municipal Advisor Rule – Evidence of IRMA Exception

To Whom It My Concern

By publicly posting the following written disclosure, Zone 7 Water Agency (“Agency”) intends that market participants receive and use it for purposes of the Independent registered advisor exemption to Rule 15B of the Securities and Exchange Commission (SEC) regarding Registration of Municipal Advisors (the “SEC Municipal Advisor Rule”).

The Agency has retained an independent registered municipal advisor. The Agency is represented by and will rely on its municipal advisor, **Sperry Capital Inc.** to provide advice on proposals from financial services firms concerning the issuance of municipal securities and municipal financial products (including investments of bond proceeds and escrow investments, if applicable). This certificate may be relied upon until further notice from the Agency and so long as this letter remains posted on the Agency’s website.

Proposals and other communications may be addressed to the Agency’s Treasurer:

Agency Treasurer
100 North Canyons Parkways
Livermore, CA 94551
Phone: 925-454-5052
Email: osolitei@zone7water.com

If any proposal received will be seriously considered by the Agency, then the Agency will share the document with its municipal advisor. Please note, that aside from regulatory mandated correspondence between an underwriter and municipal advisor, the underwriter should not speak directly with or send documents directly to the municipal advisor unless specifically directed to by the Agency.

The Agency uses a variety of municipal advisors in its debt management program and has established a pool of financial advisors. To know which firm is being used for a particular credit, please contact the Agency Treasurer. Questions or requests for additional information regarding the Agency’s relationship with its municipal advisor should be addressed to the Agency Treasurer.

Sincerely,

Osborn Solitei
Agency Treasurer/Assistant General Manager – Finance