

**ZONE 7 WATER AGENCY
 2018 PPWTP FILTER MEDIA REPLENISHMENT PROJECT
 LIVERMORE, CALIFORNIA
 PROJECT 271-18
 ADDENDUM NO. 1
 August 29, 2018**

This Addendum No. 1 (“Addendum”) is dated the date set forth above and modifies certain Bidding Documents issued by the Alameda County Flood Control and Water Conservation District, acting by and through its Zone 7 Water Agency (“District”) in connection with the District’s project: *2018 PPWTP Filter Media Replenishment Project, Livermore, California*. All capitalized terms not otherwise defined herein shall have the meanings provided in the Bidding Documents. There are no other amendments to the Bidding Documents other than expressly contained in this Addendum No. 1.

The following clarifications and/or modifications shall be incorporated into plans and specifications for the above-referenced project and shall become part of the Contract Documents. All other provisions and requirements shall remain unchanged.		
CONTRACT DOCUMENTS AND SPECIFICATIONS		
Addendum Item	Document/ Section	Location and Description of Change
1	00010	Replace Document 00010 – Table of Contents with Document 00010A – Table of Contents
2	00450	Add Specification Document 00450 – Statement of Qualifications for Construction Work to the bid documents.

Please be reminded that all bidders shall acknowledge receipt of this Addendum No. 1 in Document 00400 (Bid Form) and failure to acknowledge addendum in the Bid Form may render the bid non-responsive and may be cause for its rejection.

Acknowledgement of receipt of Addendum No. 1 for the 2018 PPWTP Filter Media Replenishment Project, Livermore, California, Project No. 271-18.

Please also sign and email a copy of this page to Emily Moshier, Zone 7 Water Agency, at emoshier@zone7water.com to acknowledge receipt of Addendum No. 1 for this project.

 Signature and Print Name

 Date

 Company

DOCUMENT 00010A

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DOCUMENT 00450**STATEMENT OF QUALIFICATIONS FOR CONSTRUCTION WORK****1. GENERAL INFORMATION**

- A. In Document 00100 (Advertisement for Bids) the Alameda County Flood Control and Water Conservation District, acting by and through its Zone 7 Water Agency (“District”), has indicated that it will receive sealed Bids for the Contract for the construction of the 2018 PPWTP Filter Media Replenishment Project, Project No. 271-18. The Contract will require Contractor to construct the Project, all in accordance with the scope of Work set forth in the Contract.
- B. District will accept Bids only from Bidders duly licensed in accordance with the California Business & Professions Code. Additionally, Bidder shall substantially meet the following requirements in order to be considered by District to be qualified for award of the Contract:
1. Five (5) years’ experience as a continuously operating entity engaged in the performance of filter media replacement, including a minimum six filters within the last five year period. If joint ventures, each party shall have five (5) years’ experience as a continuously operating entity engaged in the performance of similar work. Compliance will be based on the number of years the license has been in place since the license was issued under the firm’s present business name.
 2. Within the past five (5) years satisfactorily completed at least two (2) potable water treatment plant projects with plant capacities of at least ten (10) million gallons per day (mgd).
 3. Bidder’s Project Manager/Superintendent shall have a minimum of five (5) years of experience in the satisfactory completion of installation of filter media replacement, including a minimum of six filters within the last five year period and at least two (2) potable water treatment plant projects with plant capacities of at least ten (10) million gallons per day (mgd).

2. REQUIRED CONTENTS OF SOQ SUBMISSION

- A. Transmittal Letter. The Transmittal Letter shall name the proposed prime contractor and its legal structure (i.e., corporation, partnership, limited partnership, joint venture). If a joint venture or partnership is proposed, Bidder shall identify each partner and/or member of the joint venture and their roles and responsibilities.
- B. Capability to Provide Required Performance and Payment Bonds. Bidder shall include a letter from a surety duly licensed to do business in the State of California, indicating that the surety has agreed to provide Bidder with the required performance and payment bonds in accordance with the requirements set forth in Documents 00610 (Construction Performance Bond) and 00620 (Construction Labor and Material Payment Bond). Corporate sureties on these bonds and on bonds accompanying Bids shall meet all of the State of California bonding requirements, as defined in Code of Civil Procedure Section 995.120. Such performance and payment bonds shall be in the minimum penal sums provided therein. Bidder shall include authorization that gives the District the right to verify with the surety that the surety, based upon the Bid prices, will issue the required bonds under the conditions stated
- C. Capability to Provide the Required Insurance. Bidder shall provide a letter from an insurance underwriter(s), having a financial rating from A. M. Best Company of A VII, or better, confirming that the insurer will provide Bidder the required coverage and amounts specified in Document 00700 (General Conditions).
- D. Human and Physical Resources. Bidder shall identify, describe, and quantify for itself, the following technical resources for the construction work:
1. Description and location of manufacturing facilities, naming products and quantifying production capacity and current demand;

2. Description of field organization(s), naming skills and equipment;
 3. Description of safety program, quality control procedures, and safety experience; and
 4. Evidence of a current California Class “A” – General Engineering Contractor license and required licenses of all licensees of persons who are Key Personnel of the Bidder.
- E. Completed Questionnaire. Bidder shall include a completed “Statement of Qualification Questionnaire” in the form attached to this Document 00450 as Attachment “A”.
- F. Resumes of Proposed Key Personnel. Bidder shall provide a resume for each named Key Personnel of Bidder, to include the following:
1. Name and proposed assignment of Key Personnel; do not include home addresses or phone numbers
 2. Years of experience;
 3. Education - degrees, schools and years obtained;
 4. Professional registration(s);
 5. Fluency in English (Yes/No);
 6. Experience directly related to above proposed assignment;
 7. At least two client references, including contact names, addresses, telephone numbers, and emails; and
 8. Description of projects of a similar nature worked on in the past five years.
- G. Litigation History. Description of litigation history for the past five years, including names of involved parties, nature of dispute, and disposition.

3. GENERAL CONDITIONS

- A. General Conditions for Content. The SOQ shall be clear and concise to enable management-oriented personnel to make a thorough evaluation and arrive at a sound determination as to whether the SOQ meets District’s requirements. To this end, the SOQ should be as specific, detailed and complete as to demonstrate clearly and fully that the Bidder has a thorough understanding of and has demonstrated knowledge of the requirements to perform the Work (or applicable portion thereof). District reserves the right to verify information provided by Bidder, require Bidder to provide supplemental information and/or to obtain additional information from other sources.
- B. Explanations to SOQ. Any explanation requested by a Bidder regarding the meaning or interpretation of this Document 00450 shall be requested in writing and with sufficient time allowed for a reply to reach Bidder before the submission of its SOQ. Oral explanations or instructions will not be binding. Any information provided to any prospective Bidder concerning this Document 00450 will be furnished to all prospective Bidders as an Addendum to the Bidding Documents.
- C. Definitions. Except as set forth herein, all abbreviations and definitions of terms used in this Document 00450 are as set forth in Document 00700 (General Conditions) or Section 01420 (References and Definitions).

STATEMENT OF QUALIFICATIONS QUESTIONNAIRE FOLLOWS ON NEXT PAGE

ATTACHMENT "A" -- STATEMENT OF QUALIFICATION QUESTIONNAIRE

Bidders shall complete the entire Statement of Qualification Questionnaire and submit it in accordance with Document 00200 (Instructions to Bidders) and Document 00450 (Statement of Qualifications). Failure to complete the questionnaire or inclusion of any false or erroneous statement(s) may render the Bid nonresponsive or affect the District's determination of a Bidder's responsibility.

CONTACT INFORMATION

Firm Name: _____ Check One: Corporation
(as it appears on license) Partnership
Sole Prop.

Corporate Tax Identification Number: _____

Contact Person: _____

Address: _____

Phone: _____ Fax: _____

E-mail Address: _____

If firm is a sole proprietor or partnership:

Owner(s) of Company _____

Prospective Bidder's License Number(s):

PART A: GENERAL INFORMATION

Complete Part A before proceeding to Part B.

- 1. Does Bidder possess a valid and current California Contractor’s “A” license for the work proposed? Yes _____ No _____
- 2. Does Bidder have a minimum of \$2,000,000 liability insurance coverage? Yes _____ No _____
- 3. Has Bidder’s California contractor’s license been revoked at any time in the last five (5) years? Yes _____ No _____
- 4. Has Bidder been “default terminated” by an owner (other than for convenience), or has a Surety completed a contract for Bidder within the last five years? Yes _____ No _____
- 5. Has Bidder been cited more than three (3) times for failure to pay prevailing wages in the last five (5) years? Yes _____ No _____

Bidder’s bid will be automatically disqualified if any answer to questions 1 or 2 is “No”.

Bidder’s bid will be automatically disqualified if any answer to questions 3, 4 or 5 is “Yes”, unless compelling evidence of non-culpability is provided which District may evaluate in its sole discretion.

PART B: SAFETY, PREVAILING WAGE, DISPUTES AND BONDS

SAFETY:

Zone 7 finds worker-safety to be of utmost importance and therefore requires its Contractors to demonstrate that it possesses the skill and experience to foresee and to adopt protective measures to adequately and safely perform the construction work with respect to such hazards. Bidder acknowledges that there are certain inherent conditions existent in the construction of the particular facilities which may create, during the construction program, unsafe conditions hazardous to persons and property. The following information will be used to determine if Bidders meet the minimum safety requirements for this project.

- 1. To qualify to bid and be awarded the project Bidder must not have been cited by CAL OSHA for any “serious,” “willful,” or “repeat” violations of its safety or health regulations more than three (3) times in the past five (5) years, unless compelling evidence of non-culpability is provided which Zone 7 may evaluate in its sole discretion. Similarly, Bidder must not have been cited by the federal OSHA for more than three (3) violations in the past five (5) years, unless compelling evidence of non-culpability is provided which Zone 7 may evaluate in its sole discretion

- (a) Has CAL OSHA cited your firm for any “serious,” “willful,” or “repeat” violations of its safety or health regulations in the last five (5) years?

Yes _____ No _____

If the answer is “Yes,” attach description of each citation and a detailed explanation of the circumstances. **(If answer is “Yes,” and the number of citations exceed three (3), Bidder’s bid will be automatically disqualified, unless compelling evidence of non-culpability is provided which District may evaluate in its sole discretion.)**

(b) Has the federal OSHA cited and assessed penalties against your firm in the last five (5) years?

Yes _____ No _____

If the answer is “Yes,” attach description of each citation and a detailed explanation of the circumstances. **(If answer is “Yes,” and the number of citations exceed three (3), Bidder’s bid will be automatically disqualified, unless compelling evidence of non-culpability is provided which District may evaluate in its sole discretion.)**

2. How often does Bidder require documented safety meetings are held for:

Field Supervisor	Weekly _____	Bi-weekly _____	Monthly _____	Less than monthly _____
Employees	Weekly _____	Bi-weekly _____	Monthly _____	Less than monthly _____
New Hires	Weekly _____	Bi-weekly _____	Monthly _____	Less than monthly _____
Subcontractors	Weekly _____	Bi-weekly _____	Monthly _____	Less than monthly _____

3. How often does Bidder conduct documented safety inspections?
 Quarterly _____ Semi-annually _____ Annually _____ Other _____

4. Does Bidder have home office safety representatives who visit/audit the job site?
 Quarterly _____ Semi-annually _____ Annually _____ Other _____

5. (a) List Bidder’s Interstate Experience Modification Rate for the last five years.

2013: _____
 2014: _____
 2015: _____
 2016: _____
 2017: _____

(b) Use Bidder’s last year’s Cal/OSHA 300 log to fill in the following:

i) Number of lost workday cases _____
 ii) Number of medical treatment cases _____
 iii) Number of fatalities _____

(c) Employee hours worked last year _____

(d) State the name of Bidder’s safety engineer/manager or Site Safety Officer (for this Project):

NAME: _____ TITLE _____

Attach a resume or outline of this individual’s safety and health qualifications and experience.

PREVAILING WAGE AND LABOR CODE PROVISIONS

6. Has Bidder been fined, penalized or otherwise found to have violated any prevailing wage or labor code provision?

Yes _____ No _____ If “Yes”, attach detailed description of each occurrence.

LICENSE PROVISIONS

7. Has Bidder changed names or license numbers in the past 10 years?

Yes _____ No _____

If "Yes", please state reason for change, previous name and/or license number:

DISPUTES

8. Have any claims or legal actions been filed against Bidder in court or arbitration in the past five years? Yes _____
No _____.

If Bidder answers "Yes" Bidder shall identify any claims or legal action filed in court or arbitration against Bidder in the past five years which concerned Bidder's work on a construction project. For each claim, if any, the Bidder shall provide the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution). Are there any pending claims against your company that should you lose the claim(s), would adversely affect your financial position or your ability to meet your obligations if awarded the contract for this project? If so, please explain.

9. Have any claims or legal actions been filed by Bidder against a project owner in the past five years? Yes _____
No _____.

If Bidder answers "Yes", Bidder shall identify any claims or legal actions filed in court or arbitration by Bidder against a project owner in the past five years concerning work on a project or payment for a contract. For each claim, if any, the Bidder shall provide the project name, date of the claim, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution). Are there any pending claims filed by your company against a project owner that should you lose the claim(s), would adversely affect your financial position or your ability to meet your obligations if awarded the contract for this project? If so, please explain.

BONDING

10. Bonding Capacity - Provide documentation from Bidder's surety identifying the following:

Name of bonding company/surety: _____

Name of Surety Agent: _____

Surety Agent address: _____

Surety Agent phone number: _____

Is surety a California-admitted surety? Yes _____ No _____

What is Bidder's total bonding capacity? _____

What percentage rate does Bidder pay for bonds? _____

PART C: EXPERIENCE

The unique nature of this Project requires prior similar experience of the firm and the Key Personnel assigned. Summarize similar project experience below and provide the detailed project information requested:

EXPERIENCE OF PRIME CONTRACTOR: Bidder shall provide information for filter media replacement for at least two (2) potable water treatment plant projects with plant capacities of at least ten (10) million gallons per day (mgd) completed within the last five (5) years, including media replacement for at least six filters.

Names and references shall be current and verifiable. If a separate sheet is used, it shall contain all of the following information:

1. Project Name: _____

Location: _____

Owner: _____

Owner Contact (name, phone number and email): _____

Architect/Engineer: _____

Architect/Engineer Contact (name and phone number): _____

Const. Mgr. or Project Mgr. (name and phone number): _____

Description of Project, Scope of Work Performed, Describe how project is of a similar nature and complexity to this project: _____

Total Construction Cost: _____

Total Change Order Amount: _____

Original Scheduled Date of Completion: _____

Time Extensions Granted (number of Days): _____

Actual Date of Completion: _____

Number of Stop Notices filed by subcontractors or suppliers: _____

2. Project Name:

Location: _____

Owner: _____

Owner Contact (name and phone number): _____

Architect/Engineer: _____

Architect/Engineer Contact (name and phone number): _____

Const. Mgr. or Project Mgr. (name and phone number): _____

Description of Project, Scope of Work Performed, Describe how project is of a similar nature and complexity to this project: _____

Total Construction Cost: _____

Total Change Order Amount: _____

Original Scheduled Date of Completion: _____

Time Extensions Granted (number of Days): _____

Actual Date of Completion: _____

Number of Stop Notices filed by subcontractors or suppliers: _____

3. Project Name:

Location: _____

Owner: _____

Owner Contact (name and phone number): _____

Architect/Engineer: _____

Architect/Engineer Contact (name and phone number): _____

Const. Mgr. or Project Mgr. (name and phone number): _____

Description of Project, Scope of Work Performed, Describe how project is of a similar nature and complexity to this project: _____

Total Construction Cost: _____

Total Change Order Amount: _____

Original Scheduled Date of Completion: _____

Time Extensions Granted (number of Days): _____

Actual Date of Completion: _____

Number of Stop Notices filed by subcontractors or suppliers: _____

EXPERIENCE OF PROJECT MANAGER/SUPERINTENDENT: Bidder shall name below the Project Manager who will be assigned to this Project.

Name of Project Manager: _____

Number of Years of Total Construction Experience as a Project Manager/Superintendent:

Number of Years as a Project Manager for your company: _____ years

To demonstrate experience of the Project Manager/Superintendent, Bidder shall submit information for at least two (2) potable water treatment plant projects with plant capacities of at least ten (10) million gallons per day (mgd), including media replacement for at least six filters.

1. Project Name:

Location: _____

Owner: _____

Owner Contact (name, phone number and email): _____

Architect/Engineer: _____

Architect/Engineer Contact (name and phone number): _____

Const. Mgr. or Project Mgr. (name and phone number): _____

Description of Project, Scope of Work Performed, Describe how project is of a similar nature and complexity to this project: _____

Total Construction Cost: _____

Total Change Order Amount: _____

Original Scheduled Date of Completion: _____

Time Extensions Granted (number of Days): _____

Actual Date of Completion: _____

Number of Stop Notices filed by subcontractors or suppliers: _____

2. Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and phone number): _____

Architect/Engineer: _____

Architect/Engineer Contact (name and phone number): _____

Const. Mgr. or Project Mgr. (name and phone number): _____

Description of Project, Scope of Work Performed, Describe how project is of a similar nature and complexity to this project: _____

Total Construction Cost: _____

Total Change Order Amount: _____

Original Scheduled Date of Completion: _____

Time Extensions Granted (number of Days): _____

Actual Date of Completion: _____

Number of Stop Notices filed by subcontractors or suppliers: _____

3. Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and phone number): _____

Architect/Engineer: _____

Architect/Engineer Contact (name and phone number): _____

Const. Mgr. or Project Mgr. (name and phone number): _____

Description of Project, Scope of Work Performed, Describe how project is of a similar nature and complexity to this project: _____

Total Construction Cost: _____

Total Change Order Amount: _____

Original Scheduled Date of Completion: _____

Time Extensions Granted (number of Days): _____

Actual Date of Completion: _____

Number of Stop Notices filed by subcontractors or suppliers: _____

PART D: FINANCIAL INFORMATION

1. Has Bidder ever reorganized under the protection of the bankruptcy laws?

Yes ____ No ____ If yes, please state when _____

2. If Bidder has had the general liability carrier identified in Document 00420 (Bidder Registration and Safety Experience Form) for less than 5 years, please provide additional information below for general liability coverage during balance of the past 5 years.

Agency Name: _____

Contact Name: _____

Phone Number: _____

Carrier: _____ A.M. Best Rating _____

Carrier: _____ A.M. Best Rating _____

Carrier: _____ A.M. Best Rating _____

3. Has Bidder ever had insurance terminated by a carrier? Yes ____ No ____

If yes, explain on separate signed sheet marked with correlating cross-reference to this paragraph of the questionnaire.

Bidder hereby declares under penalty of perjury that all the information provided in this questionnaire is true and correct.

SIGNATURE

TITLE

END OF DOCUMENT

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